HIA

Docket No.: O3020.0218/P0218
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Masato Yoshida, et al.

Application No.: 09/777,886

application 110.. 07/777,000

Filed: February 7, 2001

Group Art Unit: 2161

Examiner: Not Yet Assigned

For: A TRANSACTION MEDIATION SYSTEM
AND A PRINTING MEDIATION SYSTEM
WITH USE OF THE FORMER SYSTEM
AND A METHOD FOR ESTABLISHING
TRANSACTION MEDIATION NETWORK

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts

Commissioner for Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed March 14, 2001, Applicant respectfully submits a Declaration, an Assignment, a Petition for Two-months Extension of Time, and Substitute Drawings.

Our check in the amount of \$280.00 to cover the \$130.00 fee set forth in 37 CFR 1.16(e), the \$40.00 fee as set forth in 37 CFR 1.21(h), and the \$110.00 fee as set forth in 37 CFR 1.17(a)(1).

1306338 v1; RZZ601I.DOC 1306338 v1; RZZ601I.DOC Application No. 09/777,886



The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. O3020.0218/P0218. A duplicate copy of this paper is enclosed.

Dated: June 14, 2001

Respectfully submitted)

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 828-2232

Attorneys for Applicant

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 no persons are required to sepond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMIT for FY 2001

Patent fees are subject to annual revision.

Application Number February 7, 2001 Filing Date Masato Yoshida First Named Inventor Not Yet Assigned Examiner Name 2161 Group Art Unit

Complete if Known

09/777,886

| TOTAL AMOUNT OF PAYMENT (\$) 280.00 | Attorney Docket No. O3020.0218/P0218 | | | | | | | | |
|--|---|-----------------------------|-------------|-------------|--|-----------------|-----------------------|-------------|--|
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | | | |
| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | 3. ADDITIONAL FEES | | | | | | | |
| Deposit Deposit | _ | e Entity Small Entity | | _ | | | | _ | |
| Account Number 04-1073 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | Fee Description | | Fee Paid | |
| Deposit Account | | 130 | 205 | 65 | Surcharge – late filing fee or oath | | | 130.00 | |
| Name | | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | | | | |
| X Charge Any Additional Applicant claims small entity status. See | 139 | 130 | 139 | 130 | Non-Engl | ish specifica | tion | | |
| 37 CFR 1.16 and 1.17 37 CFR 1.27 | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | | | |
| 2. X Payment Enclosed | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | | | |
| X Check Credit Card Money Order Other | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | | | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Extension | for reply wit | hin first month | 110.00 | |
| 1. BASIC FILING FEE | 116 | 390 | 216 | 195 | Extension for reply within second month | | | | |
| Large Entity Small Entity | 117 | 890 | 217 | 445 | Extension for reply within third month | | | | |
| Fee Fee Fee Fee Description Fee Paid | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | | | | |
| 101 710 201 355 Utility filing fee | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | | | | |
| 106 320 206 160 Design filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | | | | |
| 107 490 207 245 Plant filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | | | |
| 108 710 208 355 Reissue filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | | | | |
| 114 150 214 75 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | | |
| SUBTOTAL (1) (\$) | | 110 | 240 | 55 | Petition to revive – unavoidable | | | | |
| `` | 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | | | | |
| 2. EXTRA CLAIM FEES Extra Fee from below Fee Paid | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | | | | |
| Total Claims X = X = Independent Claims x = X = Independent X = Independen | | 440 | 243 | 220 | Design issue fee | | | | |
| | | 600 | 244 | 300 | Plant issue fee | | | | |
| | | 130 | 122 | 130 | Petitions to the Commissioner | | | | |
| | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | | | |
| Large Entity Small Entity | 126 | | | | | on of Informa | ation Disclosure Stmt | | |
| Fee Fee Fee Fee Fee Description | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) 40.0 | | | 40.00 | |
| 103 18 203 9 Claims in excess of 20 | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | | |
| 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid | 149 | 710 | 249 | 355 | For each additional invention to be examined (37CFR 1.129(b)) Request for Continued Examination (RCE) | | | | |
| ** Reissue independent claims | 179 | 710 | 279 | 355 | | | | | |
| 109 80 209 40 Reside independent dams | 169 | 900 | 169 | 900 | Request | for expedited | examination | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | | or a desig | | | | gn application | | | |
| and over original patent | | Other fee (specify) | | | | | | | |
| SUBTOTAL (2) (\$) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 2 **or number previously paid, if greater; For Reissues, see above | | | | | | | | 280.00 | |
| SUBMITTED BY Complete (if applicable) | | | | | | | if applicable) | | |
| Name (print/type) Thomas J. D'Amico | Registration No. (Adorney/Agent) 28,371 | | | | | Telephone | (202) 828-2232 | | |
| Signature | | | | | | Date | June 14, 2001 | | |



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/777,886

02/07/2001

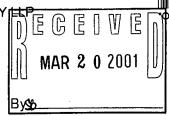
Masato Yoshida

O3020.0218/P0218

CONFIRMATION NO. 4209

FORMALITIES LETTER

Thomas J. D'Amico DICKSTEIN SHAPIRO MORIN & OSHINSKY 2101 L Street NW Washington, DC 20037-1526



Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/15/2001 TGEDAMU1 00000002 09777886

02 FC:105

130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE